



**Advisor Disclosure Statement—Rocco Faiella, Faiella Financial Group**

**Companies I represent:** Although I represent numerous Insurance Companies, I place the majority of my business through the following companies:

BMO Insurance, Canada Life, Empire Life, Manulife, Sun Life Financial and Transamerica Life

My Mutual Fund Dealership is Fundex Investments and I place the majority of Mutual Fund business through Fidelity Investments, CI Investments and Mackenzie Financial.

**Relationships with Companies I represent:** No Insurance Company or Mutual Fund Company holds any ownership interest in my business nor do I hold an interest in any Insurance or Mutual Fund Company

**Compensation:** If you choose to purchase a product through me and my firm, I will be paid a commission by the company that provides the produce you purchase. I may also receive a renewal commission if you keep that product in force.

I may also be eligible for additional compensation such as bonuses or non-monetary benefits such as travel incentives depending on various factors such as the volume or persistency of business that I place with a particular company during a given time period.

**Conflict of Interest:** I take the potential of a conflict of interest seriously. I will notify you if there is a conflict of interest which I become aware of in regards to my recommendations to you. My overall recommendation will take into consideration and will be based on my analysis of your financial security needs.

**More Information:** Should you require additional information about my qualifications or the nature of my business relationships, I would be pleased to assist you.

**Acknowledgement:**

I/We, \_\_\_\_\_ have been informed of and understand the implications of this disclosure including any conflict of interest or potential conflict of interest associated with Rocco Faiella and Faiella Financial Group in relation to any recommendation made.

Client Signature: -----

Client Signature: -----

Advisor Signature: -----

Date: -----