

INVESTOR PROFILE QUESTIONNAIRE

INVESTMENT GOALS

1. Are you investing in a registered or non-registered account?
 - A Registered
 - B Non-Registered

2. What is your investment horizon – when will you want to use your investment money?
 - A Under 3 years
 - B 3-5 years
 - C 6-10 years
 - D 11-15 years
 - E 15+ years

3. What is your most important investment goal?
 - A I want my investments to be secure I also need my investments to provide me with modest income now, or to fund a large expense within the next few years.
 - B I want my investments to provide a maximum amount of income now.
 - C I want my investments to grow and I am less concerned about income I am comfortable with moderate market fluctuations.
 - D I am more interested in having my investments grow over the long-term. I am comfortable with short term return volatility.
 - E I want long term aggressive growth and am willing to accept significant short term market fluctuations.

INVESTOR KNOWLEDGE

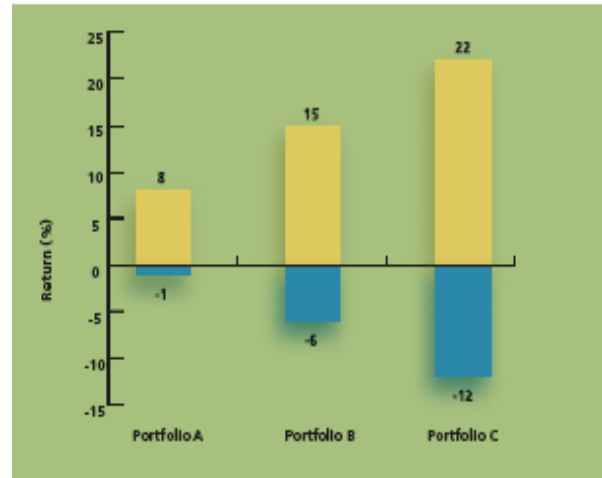
4. Which of the following would best describe your level of investment knowledge?
- A Very limited (little to no knowledge)
 - B Basic (understand difference between stocks and bonds)
 - C Average (aware of different investment options and levels of risk)
 - D Extensive (through understanding of investment products and strategies)

RISK TOLERANCE

5. Please indicate which statement reflects your overall view on managing risk.
- A I am risk averse and not prepared to expose my investments to any fluctuations in order to earn higher long term returns.
 - B I am prepared to experience modest fluctuations in order to generate a maximum amount of income.
 - C I am prepared to experience average fluctuations in order to achieve a higher long-term return.
 - D I want to maximize my long-term returns and am comfortable with significant fluctuations.
6. If you owned an investment that fell by 20% over a period of six to nine months, what would you do?
- A Sell all of the remaining investment.
 - B Sell a portion of the remaining investment.
 - C Hold the investment and sell nothing.
 - D Buy more of the investment.

7. The following portfolios show a best and worst range of return possible in any given year. Which portfolio would you be most likely to hold?

- A Portfolio A
- B Portfolio B
- C Portfolio C



8. If you could increase your chances of improving your investment returns by taking more risk, would you:

- A Be unlikely to take more risk.
- B Be willing to take a little more risk with some of your investments.
- C Be willing to take a lot more risk with some your investments.
- D Be willing to take a lot more risk with all or your investments.

INVESTOR TYPE

9. What type of investor are you?

- A You may be reaching retirement or simply prefer to take less risk. Security of capital is your biggest concern.
- B You want your investments to generate income. You are willing to accept some risk to achieve higher income.
- C You want a balance between growth and security. You are willing to accept some risk for potential higher returns over time.
- D Growth is more important but security is still a factor. You are willing to accept risk for potential higher returns over time.
- E The growth of your money is your main concern and you plan to be invested for a long time. You are very comfortable with riding out the ups and downs of the market for potential higher long-term results.

Scoring

HOW TO SCORE THE INVESTOR PROFILE QUESTIONNAIRE

Assign the following points:

QUESTION #	A	B	C	D	E	YOUR POINTS
QUESTION 1	0	0	-	-	-	
QUESTION 2	0	2	6	10	15	
QUESTION 3	0	4	6	10	15	
QUESTION 4	0	2	3	5	-	
QUESTION 5	0	2	6	10	-	
QUESTION 6	0	4	6	10	-	
QUESTION 7	0	3	5	-	-	
QUESTION 8	0	4	7	10	-	
QUESTION 9	0	4	8	11	15	

Total the points from each question.

Your Score:

If you have answered B to either question 3, 5 or 9 then you are considered an Income Investor. See Income Profile in the Investor Profile section on the right.

Profile

Client Signature

Date

Advisor Signature

Investor Profile



0-10 CONSERVATIVE

Your investment horizon is short or you may simply prefer to take less risk. Security is your most important concern

11-20 MODERATE

Your investment horizon is relatively short or you may prefer to take less risk. Security is quite important to you.

21-40 INCOME

You have a short-to-medium investment horizon and are seeking income generation above all else, but also long-term growth potential with security.

41-55 BALANCED

Your investment horizon is long enough to benefit from a balance between growth and security. You are willing to accept some risk for potential higher returns over time.

41-55 GLOBAL BALANCED*

Your investment horizon is long enough to benefit from a balance between growth and security. You are willing to accept some risk for potential higher returns over time and are looking to diversify your investments outside of Canada.

56-75 GROWTH

Your investment horizon is long enough to benefit from a growth orientation. You will accept risk for potential higher returns over time.

76+ AGGRESSIVE

Your investment horizon is long enough to benefit from an aggressive orientation. Your main concern is growth of money that will be invested for a long period of time. You are very comfortable riding out the ups and downs in the market for potential higher long-term results.